

KEITH MEERES

An experienced director who has operated at Board level for circa 20 years in an executive capacity and several years in a non-executive role.

Key responsibilities have included: governance, regulatory, risk, audit, secretariat and major support services in a highly regulated sector.

Described by previous employers, clients and colleagues as possessing strong values (honesty, trust, integrity, commitment) a strong leader and team player who is friendly, pragmatic and approachable, but equally comfortable working alone.

Current employment

KFM Consulting Limited (July 2016 – now)

Director of a consultancy business providing governance, risk and regulatory services to a variety of financial services and non-financial services businesses, including a professional football club.

Previous Employment

1. Onefamily (a medium size financial sector mutual, also known as Family Investments / Family Assurance Friendly Society Ltd: circa 2m customers and £7bn FuM) (1993 – July 2016)

a) 2001 – 2016: Governance & Risk Director

Responsibilities: main board director; Secretary; oversight of Risk, Audit, Compliance, Legal. Stakeholder engagement, including regulatory relationship. Approved Person (CF1, 10 and 11); Director and Secretary of investment company subsidiaries. From 2001 until 2013 responsible also for HR, Facilities and Learning & Development.

Main achievements include successfully guiding this business over many years through periods of significant regulatory and commercial change including several M&A transactions.

b) External Non-Executive position (2011-16)

Non-Executive Director (and former Chairman) of the Investment and Life Assurance Group (ILAG – a financial services trade association).

c) 1997 – 2001: Secretary and Group Compliance Officer

Responsibilities: main board director; secretary; oversight of Risk,

Audit, Compliance, Legal. Director and Secretary of investment company subsidiaries.

d) 1993 – 1997: Group Compliance Officer

Responsibilities: group regulatory compliance.

2. Prudential Assurance (1987-1993)

A major international provider of insurance and investment products. Employed initially in the UK Property Services Division and then UK Home Service as:

- Compliance Manager (from 1988)
- Business Development Manager, Financial Services (1987-88)

3. Martlet Financial Services (1983-1987)

Financial Services Area Manager of a small mortgage and insurance broker.

4. Alliance Building Society (1975-1983)

A large mutual Building Society. Employed in various positions at Head Office, Branch Office Manager and Sales Representative.

5. Other external positions

- 2006 – 2016 member (and former Chair) of ILAG Regulations Practitioner Group.
- 1996 – 2016: member of AFM Regulations committee.
- 2007 – 2011: accredited presenter of CFEB Financial Capability programme.
- FCOI – Fellow of Compliance Institute

Interests

- Reading (non-fiction)
- Most sports, primarily soccer (coach and spectator). I am Head Youth Coach at a junior football club.

Contact details

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